

UNITED STATES DISTRICT COURT

Northern District of California

San Francisco

NICHOLAS BART ELLIS, No. C 07-05126 SBA (LB)
Plaintiff,
v.
**ORDER RE 3/19/2012 JOINT
DISCOVERY LETTER**
SERGEANT A. NAVARRO, *et al.*, [ECF No. 83]
Defendants.

I. INTRODUCTION

17 Plaintiff Nicholas Bart Ellis and the Office of the Inspector General (“OIG”), an interested party,
18 filed a joint discovery letter on March 19, 2012 addressing OIG’s objections to the court’s order that
19 they produce investigation materials sought by Plaintiff. 3/19/2012 Joint Discovery Letter, ECF No.
20 83 at 1. Following a hearing on April 12, 2012, and considering the parties’ respective arguments
21 contained in the letter, the court orders OIG to produce the summary reports regarding the 2008 OIG
22 investigation.

II. BACKGROUND

24 On January 3, 2012, Plaintiff filed an *ex parte* request that the court order OIG to produce
25 records relevant to Plaintiff's case. Plaintiff's 1/3/2012 Discovery Letter at 1. The records at issue
26 document a 2008 OIG investigation into complaints against four California Department of
27 Corrections and Rehabilitation ("CDCR") officers working at Pelican Bay State Prison. Two of
28 those officers, defendants Navarro and Juarez, are named defendants in this case. 3/19/2012 Joint

1 Letter, ECF No. 83 at 1-2. On January 27, 2012, the court granted Plaintiff's request and ordered
2 OIG to "release all investigation materials concerning the named defendants in this action under the
3 Stipulated Protective Order As Modified." Order re 1/17/2012 Discovery Letter, ECF No. 80 at 2.
4 Due to some confusion between Plaintiff, OIG, and CDCR during the discovery process, OIG had
5 not yet had an opportunity to voice its objections to this discovery order. *Id.* OIG's objections,
6 along with Plaintiff's responses, are the subject of this joint letter. *Id.*

7 **II. LEGAL STANDARD**

8 Subject to the limitations imposed by subsection (b)(2)(C), under Rule 26, "[p]arties may obtain
9 discovery regarding any non-privileged matter that is relevant to any party's claim or defense . . ." Fed. R. Civ. P. 26(b)(1). "Relevant information need not be admissible at the trial if the discovery
10 appears reasonably calculated to lead to the discovery of admissible evidence." *Id.* However, "[o]n
11 motion or on its own, the court must limit the frequency or extent of discovery otherwise allowed by
12 these rules or by local rule if it determines that: (1) the discovery sought is unreasonably cumulative
13 or duplicative, or can be obtained from some other source that is more convenient, less burdensome,
14 or less expensive; (2) the party seeking discovery in has had ample opportunity to obtain the
15 information by discovery in the action; or (3) the burden or expense of the proposed discovery
16 outweighs its likely benefit, considering the needs of the case, the amount in controversy, the
17 parties' resources, the importance of the issues at stake in the action, and the importance of the
18 discovery in resolving the issues." Fed. R. Civ. P. 26(b)(2)(C).

20 **IV. DISCUSSION**

21 OIG first objects that the requested discovery is irrelevant to this case. *Id.* at 3. The court,
22 however, has already determined that the investigation materials sought by Plaintiff are relevant.
23 See Order Re 1/17/2012 Joint Discovery Letter, ECF No. 80.

24 OIG next objects that the investigation materials contain highly sensitive and private
25 information, including the identities of inmates who could be put in danger for their cooperation.
26 3/19/2012 Joint Discovery Letter, ECF No. 83 at 3. As Plaintiff points out, the court has already
27 ordered that the OIG materials be produced for "attorneys' eyes only" under the Stipulated
28 Protective Order As Modified. *Id.* at 5. This procedure is sufficient to address OIG's safety and

1 security concerns.

2 OIG also objects on the grounds that production of the entire investigation file is unnecessarily
3 broad and unduly burdensome. *Id.* at 3. According to OIG, the investigation materials consist of
4 approximately eleven boxes of documents, and include dozens of recorded interviews. *Id.* at 2. The
5 allegations of misconduct involved not only the two defendants in this case, but two other CDCR
6 officers whose personal information would have to be protected. *Id.* Further, because of their
7 confidentiality under state law, OIG asserts that it would be required to copy and redact the material
8 using its own staff; a process which could take weeks due to its limited budget and manpower. *Id.*

9 Based on the representations of counsel at the April 12, 2012 hearing, OIG has reviewed the
10 summary reports – which summarize the extensive record produced by that investigation, the
11 entirety of which is stored in the aforementioned eleven boxes – generated in the 2008 investigation.
12 It has not reviewed the entire record. Because the court recognizes that releasing the entire
13 investigative record to Plaintiff would place a substantial burden and expense on OIG and the
14 information contained in that record is likely of limited importance to the narrow categories of
15 excessive force and truth-telling relevant to this case, the court only orders the production of the
16 summary reports.

17 If after reviewing the summary reports Plaintiff believes a more detailed review of the
18 investigative record would produce relevant information, the parties shall meet and confer to
19 determine the most efficient procedure for making that information available. One possibility would
20 be for OIG to review the eleven boxes and produce only the information relevant to excessive force
21 and truth-telling. At the hearing, Plaintiff's counsel proposed that the entire record be made
22 available for Plaintiff's counsel to review. Regardless, should Plaintiff seek production of
23 information beyond the summary reports, Plaintiff may have to bear the cost. The court declines to
24 rule on these potential issues at this time. Should disputes arise, the parties are welcome to submit
25 them to the court through the joint discovery letter process.

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3 Accordingly, the court **ORDERS** OIG to release the summary reports generated by the 2008
4 OIG investigation under the Stipulated Protective Order As Modified (Dkt. 58) and, as soon as
5 practicable, produce them to Plaintiff and Defendant for “attorneys’ eyes only” review.

6 This disposes of ECF No. 83.

7 **IT IS SO ORDERED.**

8 Dated: April 16, 2012


LAUREL BEELER
United States Magistrate Judge